

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
 United States Patent and Trademark  
 Office  
 Box PCT  
 Washington, D.C.20231  
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 27 June 2000 (27.06.00)	
International application No. PCT/US99/23358	Applicant's or agent's file reference GIC-561 PCT
International filing date (day/month/year) 07 October 1999 (07.10.99)	Priority date (day/month/year) 12 November 1998 (12.11.98)
Applicant PETERKA, Petr et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

13 April 2000 (13.04.00)

☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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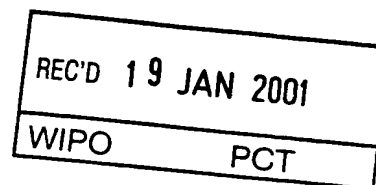
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PATENT COOPERATION TREATY  
**PCT**



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference GIC-561 PCT	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US99/23358	International filing date (day/month/year) 07 OCTOBER 1999	Priority date (day/month/year) 12 NOVEMBER 1998
International Patent Classification (IPC) or national classification and IPC IPC(7): G06F 13/12, 15/177; H04L 12/56 and US Cl.: 710/65; 705/54; 709/101; 370/401		
Applicant GENERAL INSTRUMENT CORPORATION		

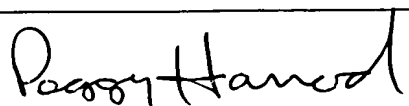
1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 4 sheets.

☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 2 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  13 APRIL 2000	Date of completion of this report  01 NOVEMBER 2000
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer  THOMAS LEE  Telephone No. (703) 305-9717

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23358

**I. Basis of the report****1. With regard to the elements of the international application:\***☐ the international application as originally filed☒ the description:

pages (See Attached)

, as originally filed

pages , filed with the demand

pages , filed with the letter of

☒ the claims:

pages (See Attached)

, as originally filed

pages , as amended (together with any statement) under Article 19

pages , filed with the demand

pages , filed with the letter of

☒ the drawings:

pages (See Attached)

, as originally filed

pages , filed with the demand

pages , filed with the letter of

☒ the sequence listing part of the description:

pages (See Attached)

, as originally filed

pages , filed with the demand

pages , filed with the letter of

**2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.**

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).☐ the language of publication of the international application (under Rule 48.3(b)).☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).**3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:**☐ contained in the international application in printed form.☐ filed together with the international application in computer readable form.☐ furnished subsequently to this Authority in written form.☐ furnished subsequently to this Authority in computer readable form.☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.**4. ☒ The amendments have resulted in the cancellation of:**☒ the description, pages NONE☒ the claims, Nos. NONE☒ the drawings, sheets/fig NONE**5. ☐ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\***

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments. (Rules 70.16 and 70.17).

\*\*Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23358

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. statement

Novelty (N)	Claims <u>1-20</u>	YES
	Claims <u>NONE</u>	NO
Inventive Step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-20</u>	NO
Industrial Applicability (IA)	Claims <u>1-20</u>	YES
	Claims <u>NONE</u>	NO

### 2. citations and explanations (Rule 70.7)

Claims 1 and 20 lack an inventive step under PCT Article 33(3) as being obvious over Sreenan, USPAT 5,742,772. Sreenan shows a television set-top terminal [col 3, lines 15-20], comprising:

a computer readable medium having computer program code means [col 11, line 54]; and  
means for executing said computer program code means to implement an Application Programming Interface (API) [col 11, line 44] for accessing and managing multiple resources [col 11, lines 43-44] at the terminal, wherein:  
the API provides a resource package for registering the available resources at the terminal, a management package for managing states of the resources, and a registry package for storing objects that represent the resources [col 11, line 22 - col 12, line 5].

Sreenan teaches a scalable technique for managing potentially complex interactions and the use of resources in an efficient and economical fashion [col. 1, lines 23-26]. Sreenan particularly provides a method for implementing resource management via API. col. 3, lines 53-54, col. 4, lines 42-44. Sreenan also teaches that terminals, including set-top boxes, are equipped with resources, i.e., broadband network access, I/O devices, such as a graphical user interface (GUI), display, speaker, microphone and/or camera [col. 3, lines 15-20]. Thus, at the time the invention was made, it would have been readily recognized by one of ordinary skill in the art that Sreenan's API resource management may obviously be implemented in a terminal such as a set-top box because it would facilitate resource management at the terminal.

Claims 2-19 lack an inventive step under PCT Article 33(3) as being obvious over Sreenan, USPAT 5,742,772. Sreenan teaches the bridge keeps track of usage of resources [col 12, lines 4-7]. It would have been manifestly obvious to those skill in the art to realize the resources can be any of well known resources [col 12, lines 21-24] such as a tuner, a modem, a database, a plug-in module, a cable, a software module, a network interface card, and a conditional access module, and to implement any well known standard to Sreenan's system such as ITU-T X.731 standard for state management.  
(Continued on Supplemental Sheet.)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23358

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**I. BASIS OF REPORT:**

This report has been drawn on the basis of the description,  
page(s) 1-32, as originally filed.  
page(s) NONE, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the claims,  
page(s) 33-36, as originally filed.  
page(s) NONE, as amended under Article 19.  
page(s) NONE, filed with the demand.  
and additional amendments:  
Pages 35-36, filed with the letter of 22 September 2000.

This report has been drawn on the basis of the drawings,  
page(s) 1-7, as originally filed.  
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and additional amendments:  
NONE

This report has been drawn on the basis of the sequence listing part of the description:  
page(s) NONE, as originally filed.  
pages(s) NONE, filed with the demand.  
and additional amendments:  
NONE

**V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):**

----- NEW CITATIONS -----

US 5,742,772 A (SREENAN ET AL.) 21 APRIL 1998, see entire documentation, especially col. 11, lines 42-63.  
US 5,815,678 A (HOFFMAN ET AL.) 29 SEPTEMBER 1998, see entire documentation, especially fig. 1.  
US 5,717,691 A (DIGHE ET AL.) 10 FEBRUARY 1998, see entire documentation, especially col. 5, lines 21-39.



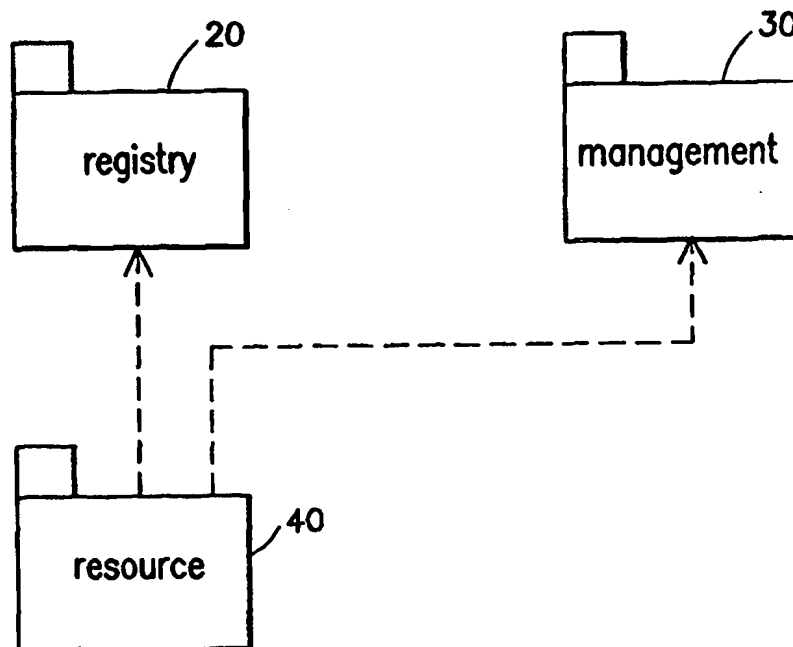
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : <b>H04N 5/00, G06F 9/46</b>		<b>A1</b>	(11) International Publication Number: <b>WO 00/30346</b>
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(21) International Application Number: PCT/US99/23358 (22) International Filing Date: 7 October 1999 (07.10.99) (30) Priority Data: 60/107,962                      12 November 1998 (12.11.98)    US (71) Applicant (for all designated States except US): GENERAL INSTRUMENT CORPORATION [US/US]; 101 Tournament Drive, Horsham, PA 19044 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): PETERKA, Petr [US/US]; 5126 Caminito Vista Lujo, San Diego, CA 92130 (US). MEANDZIJIA, Branislav, N. [US/US]; 827 Coast Boulevard South, La Jolla, CA 92037 (US). MANGALORE, Geetha [IN/US]; 11674 Spring Side Road, San Diego, CA 92128 (US). ZAISER, Kurt [US/US]; 9260 Clover Glen Court, San Diego, CA 92126 (US). (74) Agent: LIPSITZ, Barry, R.; Building No. 8, 755 Main Street, Monroe, CT 06468 (US).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  Published With international search report.	

(54) Title: APPLICATION PROGRAMMING INTERFACE (API) FOR ACCESSING AND MANAGING RESOURCES IN A DIGITAL TELEVISION RECEIVER

## (57) Abstract

An application programming interface (API) for managing resources in a Digital Television (DTV) Receiver/Terminal. The API provides a uniform mechanism for gaining/controlling access to resources, managing multiple resources of the same type, and accessing the individual resource's management state and status. The resources may include, for example, a tuner, a modem, a database, a plug-in module, a cable, a software module, a network interface card, or a conditional access module. The resources are monitored and controlled either locally at the terminal, or remotely, e.g., from a head-end or an uplink. The API provides a resource package (40) for registering the available resources at the terminal, a resource state management package (30) for managing states of the resources, and a registry package (20) for storing objects that represent the resources. Resources of the same type are managed as a group.



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**APPLICATION PROGRAMMING INTERFACE (API) FOR ACCESSING  
AND MANAGING RESOURCES IN A DIGITAL TELEVISION RECEIVER**

**BACKGROUND OF THE INVENTION**

This application claims the benefit of U.S.  
5 Provisional Application No. 60/107,962, filed November  
12, 1998.

The present invention relates to an application  
programming interface (API) that provides a uniform  
mechanism for gaining/controlling access to resources,  
10 managing multiple resources of the same type, and  
accessing the individual resource's management state  
and status. The invention is suitable for use in  
managing resources in a Digital Television (DTV)  
Receiver/Terminal.

15 A set-top terminal, also referred to as an  
Integrated Receiver-Decoder (IRD) or a subscriber  
terminal, is a device that receives and decodes  
television signals for presentation by a television.  
The signals can be delivered over a satellite, through  
20 a cable plant, or by means of terrestrial broadcast,  
for example. Various applications have been proposed,  
or are currently available, via modern set tops,  
including video on demand (VOD), audio on demand, pay-  
per-view, interactive shopping, electronic commerce,  
25 electronic program guides, Internet browsers, mail  
services (e.g., text e-mail, voice mail, audio mail,  
and/or video mail), telephony services, stock ticker,  
weather data, travel information, games, gambling,  
banking, shopping, voting, and others. Applications  
30 may also enable Internet connectivity and possibly



Internet-based telephony. The set top functionality is enabled through specialized hardware and software.

The applications may be downloaded by terminals via a network, loaded locally (e.g., via a smart card),  
5 or installed at the time of manufacture, for example.

Moreover, with the increasing integration of computer networks such as the Internet, telephony networks, and broadband distribution networks, many opportunities arise for providing new types of  
10 applications.

However, in the new paradigm of broadcasting downloadable applications to television receivers, there is no deterministic way of predicting which applications will be running at what time, and possibly  
15 in parallel with other applications. Nonetheless, such applications must co-exist on the receiver and co-operate in such a manner that the end user has a positive experience while these applications compete for resources. For example, the applications should  
20 run without noticeable delays or interruptions.

Also, since there are only limited resources on the terminal, there is a need to monitor and control these resources, either locally or remotely from a head-end or an uplink. Such a monitoring and control  
25 mechanism should provide improved control of the receivers in the network, and should also have the capability to prevent or fix problems related to resources on the receiver.

It would be desirable to provide a mechanism for  
30 accessing and managing resources that addresses the

above issues. Preferably, the mechanism should be implementable in an API.

5 The API should be compatible with Java(tm), ActiveX(tm) or an equivalent type of component based object-oriented technology.

10 The API should be compatible with Digital Audio Visual Council (DAVIC), American Television Standards Committee (ATSC) T3/S17 Digital TV Application Software Environment (DASE), Digital Video Broadcast (DVB) - Multi-Media Home Platform (MHP), and other related environments.

The system should be compatible with the ITU-T X.731 standard for state management.

15 The present invention provides a system having the above and other advantages.

## SUMMARY OF THE INVENTION

The present invention provides an application programming interface (API) for a television terminal that provides a uniform mechanism for  
5 gaining/controlling access to resources, managing multiple resources of the same type, and accessing the individual resource's management state and status.

The terminal may be a DTV receiver, set-top box, IRD, TV-enabled PC, or the like. An application may  
10 use a resource, which is usually a device, function or a process on the receiver (e.g., tuner, modem, database, plug-in module, cable, software module, network interface card, persistent storage, TV screen space, memory, CPU, conditional access (CA) module,  
15 etc.)

Moreover, individual resources may advertise changes in their state and status according to their capabilities and complexity. Applications which are using these resources may monitor the state and status  
20 changes and adjust their own behavior accordingly. Management applications, whose purpose is to monitor the behavior of resources to collect statistics, fine-tune the receiver configuration and/or detect and fix or prevent problems (e.g., resource conflicts,  
25 malfunctions, etc.) The management applications may be downloaded or otherwise provided (e.g., locally via a smart card or at the time of manufacture or installation) to all or selected receivers to perform such functions using this API.

The API includes three packages: resource, management and registry. The resource package depends on the registry and management packages.

5 The API is preferably independent of an operating system and hardware of the terminal.

In a particular implementation, a television set-top terminal is provided that includes a computer readable medium having computer program code means, and means for executing the computer program code means to  
10 implement an Application Programming Interface (API) for accessing and managing multiple resources at the terminal. The API provides a resource package for registering the available resources at the terminal, a resource state management package for managing states  
15 of the resources, and a registry package for storing objects that represent the resources.

The resource objects, in this context, are software objects as known from the field of object-oriented technology. The objects represent the real  
20 resources at the terminal. A ResourceRegistry is a convenient place where an application can learn what types of resources are available, and retrieve a ResourceType Manager for a specific type of resource. Additionally, an application can determine how many,  
25 and which, resources are available, and possibly access/use one or more of the available resources.

The management package may manage the states of the resources according to the ITU-T X.731 standard for state management.

30 The available resources may include a tuner, a modem, a database, a plug-in module, a cable, a

software module, a network interface card, and a conditional access module, for example.

5       The API may provide a resource registry for maintaining a record of resource managers that provide access to individual resources.

      The API may be independent of an operating system and hardware of the terminal.

      The API may group resources of the same type, and manage the grouped resources as a group.

10       The API may monitor behavior of the resources, and attach (e.g., associate) corresponding management information to the resources.

      The API may enable the resources to advertise their respective states to at least one application at the terminal. The API may also enable the application to access the advertised states of the advertising resources.

      The API may enable administrative locking and unlocking of the resources.

20       The API may enables the resources to advertise respective alarm statuses, availability statuses, procedural statuses, operational states, administrative states, and usage states thereof to applications at the terminal.

25       A corresponding method is also presented.

**BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 shows package relationships and dependencies in accordance with the present invention.

5       FIG. 2 illustrates a resource package class/interface diagram in accordance with the present invention.

FIG. 3 illustrates a management package class/interface diagram in accordance with the present invention.

10       FIG. 4 illustrates a registry package class/interface diagram in accordance with the present invention.

15       FIG. 5 illustrates an example class/interface diagram that shows how the resource, management and registry packages may be used with a network interface (tuner) in accordance with the present invention.

## DETAILED DESCRIPTION OF THE INVENTION

### 1. Introduction

The present invention relates to an application programming interface (API) that provides a uniform  
5 mechanism for gaining access to resources (ResourceRegistry), managing multiple resources of the same type (ResourceTypeManager) and accessing the individual resource's management state and status (GenericResource and ObjectState).

10 For example, downloadable applications (e.g., Electronic Program Guide - EPG, stock ticker, etc.) may need to access these resources.

The invention describes a Resource Management API and its relationships to other related packages,  
15 specifically the Registry package, and how it can be applied to existing resources such as the Digital Audio Video Council (DAVIC) tuning resource (NetworkInterface).

Note that portions of the disclosure were  
20 generated automatically from Rational Rose(tm) CASE tool, developed by Rational Software Corporation, USA. The figures use the Rational Rose (tm) depiction of the Unified Modeling Language (UML), which is a language for specifying, constructing, visualizing, and  
25 documenting the artifacts of a software-intensive system. A class diagram represents the static structure of a system, and shows a pattern of behaviors that the system exhibits. This is accomplished by showing the existence of classes and their  
30 relationships. Each class is represented by a box with

three sections. The top section lists the class name. The middle section denotes a list of attributes, and the bottom section denotes a list of operations.

5 A solid or dashed line between classes denotes an association or dependency. A white diamond tip denotes aggregation by reference, while a black diamond tip denotes aggregation by value. A triangular arrowhead denotes a restricted navigation, e.g., inheritance of operation but not of structure.

10 Moreover, interfaces and classes begin with an uppercase letter, while methods begin with a lowercase letter.

A class is a template that defines a data structure, method and function calls for an object. An  
15 interface defines a set of methods/function calls that can be manipulated by a class. The class provides the code for implementing an interface.

## 2. Model Description

FIG. 1 shows package relationships and  
20 dependencies in accordance with the present invention. The API includes three packages: resource 40, management 30 and registry 20. The resource package depends on the registry and management packages.

### 2.1 Resource Package

25 FIG. 2 illustrates a resource package class/interface diagram in accordance with the present invention. The object diagram describes the resource management API of the present invention. A ResourceRegistry 100 is the central registry of all



available resources. The ResourceRegistry is the single place where a downloaded application must go to learn about existing resources.

Resources of the same type are managed by a  
5 ResourceTypeManager 120. The DAVIC  
NetworkInterfaceManager is an example of a  
ResourceTypeManager since there may be more than one  
NetworkInterface in the DTV receiver. Individual  
resources implement the GenericResource interface 140,  
10 which identifies the name and type of the specific  
resource and, in turn, implements the ObjectStates  
interface 130 providing access to all or an appropriate  
subset of resource states and status information.

A state is accessed to learn the current value of  
15 the state.

The Event-Listener model provides a mechanism to  
inform listeners about changes in the ResourceRegistry  
100, such as addition of new resources or their removal  
from the registry.

20 As is known, Java defines an Event-Listener  
pattern which allows objects to register as listeners  
to another object. If there are any changes with this  
object, it sends an event to the listening object.

A ResourceType interface 110, RegistryChangeEvent  
25 class 150, ResourceChangeCause interface 160, and  
ResourceRegistryEvent class 170 are also provided.

Exceptions 180 can also be defined. Specifically,  
the structure is set up so that security can be used to  
allow some applications to access resources and some  
30 other not. If this is desirable, it may be done  
implicitly (already built into Java), or explicitly by

defining new Exceptions.

## 2.2 Management Package

FIG. 3 illustrates a management package class/interface diagram in accordance with the present invention. The diagram describes those classes and interfaces related to state management, specifically managing resource states based on the ITU-T management standard. It is separated into its own package since it can be applied to any manageable object, such as DTV receiver resources, downloadable applications, etc.

The management package includes an AdministrativeState interface 205, an OperationalState interface 210, a UsageState interface 215, an AlarmStatus interface 225, an AvailabilityStatus interface 230, a ProceduralStatus interface 235, an Exception class 240, a ResourceStateException class 245, a StateChangeListener interface 250, an EventObject class 255, a SourceIndicator interface 260, and a StateChangeEvent class 265.

A specific resource is free to support a subset of the defined states and status attributes as appropriate to the specific resource functionality. DigitalTV Application Software Environment (DASE) may mandate a subset of these in order to provide for a better interoperability between applications and resources with respect to management.

## 2.3 Registry Package

FIG. 4 illustrates a registry package class/interface diagram in accordance with the present invention.

5 The Registry package provides a basic mechanism to construct a Registry object of any kind. The Registry is a base interface which is extended by all specific Registries, such as the ResourceRegistry 100.

10 There is a RegistryListener 345 and RegistryChangeEvent 150 associated with this package. The listener interface 345 is used by any object that wants to be notified of any changes in the Registry 310. Changes are considered those that affect the Registry itself (not necessarily the individual elements in the registry), such as adding or removing  
15 elements to/from the Registry 310. The RegistryChangeEvent 150 is an abstract class which will be extended by the specific registry events.

20 Since most of the API is defined in terms of Java Interfaces, the RegistryFactory 315 is a class that hides the actual object construction implementation.

25 A RegistryType interface 305, UserRegistry interface 320, PreferenceRegistry interface 325, ApplicationRegistry interface 330, and EventObject class 335 are also provided. The Registry object serves as a generic mechanism for registering similar types of objects in the registry. That is, users are registered in a UserRegistry, and user preferences are registered in a PreferenceRegistry, as discussed in commonly-assigned PCT Patent Application No.

30 \_\_\_\_\_, filed October 7, 1999, and entitled "Digital Television Receiver User Management

Application Programming Interface (API) For Downloadable Broadcast Applications."

Applications are registered in an ApplicationRegistry, discussed in commonly-assigned PCT  
5 Patent Application No. \_\_\_\_\_, filed October 7, 1999, and entitled "Software Application Lifecycle And Management For Broadcast Applications."

#### 2.4 Tuning Package

FIG. 5 illustrates an example class/interface  
10 diagram that shows how the resource, management and registry packages may be used with a network interface (tuner) in accordance with the present invention. The diagram shows how the Resource package classes can be applied to already defined resources. Generally, the  
15 figure is an example of how the resource, management and registry packages may be used.

This example shows the DAVIC tuning API (org.davic.net.tuning) where the  
NetworkInterfaceManager 420, which manages multiple  
20 NetworkInterfaces 430, can be considered as the generic ResourceTypeManager 120. Classes 420 and 430 are from the DAVIC tuning package. The NetworkInterface 430, which represents the specific resource, can implement the GenericResource interface 140 and, in turn, the  
25 ObjectStates interface 130.

A ResourceServer interface 410 is also provided. A ResourceServer is another interface from the DAVIC set of packages. This example shows how the present invention can be applied to an existing resource that  
30 is defined by another organization - DAVIC, in this

case.

### 3. Class and Interface Specification

The following sections describe the individual classes, interfaces and their methods.

#### 5 3.1 Resource Package

This package provides classes and interfaces related to resource management functions.

##### 3.1.1 ResourceRegistry

10 This interface 100 defines the necessary  
functionality behind the ResourceRegistry as applicable  
to an application. It lists resources. For example, a  
downloadable application may ask the ResourceRegistry  
what types of resources are available on the terminal,  
and then get the ResourceTypeManager to request access  
15 to a specific resource. ResourceRegistry 100 is  
derived from ResourceType 110 and Registry 310.

Public Operations:

**getResourceTypeManager (resourceType : short) :  
ResourceTypeManager**

20 Returns a Resource Type Manager which manages a  
collection of resources of the specified type. Returns  
Null if this type of a resource does not exist.

**getResourceTypes () : short[]**

25 This method returns a list of supported resource  
types.

Public operations are those methods that may be  
called and used by other objects since they are visible  
outside of the object (e.g., class). In contrast,

private operations are visible only to the class/interface itself.

### 3.1.2 ResourceTypeManager

5 This interface 120 has to be supported by classes representing managers of a certain type of a resource.

It is expected that most ResourceServers from the org.davic.resources package will implement this interface. It is derived from ResourceType 110.

Public Operations:

10 **getResources () : GenericResource[]**

Returns a list of GenericResources managed by this ResourceTypeManager.

**getAvailableCount () : int**

Returns the number of available resources.

15 **getTotalCount () : int**

Returns the total number of resources managed by this ResourceTypeManager.

**getType () : short**

Returns the type of this resource manager.

### 20 3.1.3 GenericResource

This interface 140 represents a Generic Resource which defines a common interface that has to be implemented by every manageable resource.

25 Only an applicable subset of ObjectStates 130 will be implemented by each specific resource.

The class that represents the actual resource (such as the NetworkInterface 430) or a proxy (such as the DAVIC ResourceProxy) may implement this interface.

It is derived from ObjectStates 130 and  
ResourceType 110.

Public Operations:

**getType () : short**

5 Returns the type of this resource.

**getName () : String**

Returns a name of this resource.

#### 3.1.4 ResourceType

10 This interface defines the different types of  
resources, such as a network interface card,  
conditional access (CA) module, modem, etc.

Public Attributes:

**NETWORK\_INTERFACE : String = "Network Interface"**

**CA\_MODULE : String = "Conditional Access Module"**

15 **MODEM : java.lang.String = "Telco Modem"**

**SECTION\_FILTER : String = "MPEG Section Filter"**

#### 3.1.5 ResourceRegistryEvent

20 This event 170 informs the RegistryListener 345  
that there was a change in the Registry 310. The Event  
has information about the type of a resource that  
changed, and the nature of the change (e.g., resource  
added, removed, etc.).

It may be useful in some implementations to return  
the ResourceTypeManager 120 of the affected resource.

25 It is derived from RegistryChangeEvent 150.

Public Operations:

**getResourceType () : java.lang.String**

Returns the type of resource that has changed in  
the ResourceRegistry 100.

### 3.1.6 ResourceChangeCause

This interface defines possible causes for the ResourceRegistryEvent 170.

Public Attributes:

5       **RESOURCE\_ADDED** : short = 1  
      **RESOURCE\_REMOVED** : short

### 3.2 Management Package

10       This package includes classes and interfaces related to object management. It can be applied in its entirety or as a subset as relevant to the specific managed entity. It is applicable for managing state and status attributes of digital television (DTV) receiver resources as well as applications.

15       It is based on the ITU-T X.731 standard for State Management.

#### 3.2.1 AdministrativeState

An interface that defines Masks for different Administrative States:

20       -locked: The resource is administratively prohibited from performing services for its users.

      -Unlocked: The resource is administratively permitted to perform the services to users.

25       The unlocked state is independent of its inherent operability. That is, a resource may be locked or unlocked (the Administrative State) and enabled or disabled (the Operational State) - these are independent of each other.



-Shutting down: Use of resource is administratively permitted to the existing instances of users only. The manager may at any time cause the object to revert to the Unlocked state.

5       Public Attributes:  
          UNLOCKED : int = 0x00000001  
          LOCKED : int = 0x00000002  
          SHUTTING\_DOWN : int = 0x00000004  
          ADMIN\_TYPE : short = 1

10       Public Operations:  
          getAdministrativeState () : int  
          Called to get the current value of the  
Administrative State.  
          setLock (administrativeState : int) : void

15       Called to change the value of the Administrative  
State.

### 3.2.2       OperationalState

      This interface 210 defines the Operational state  
for Resources and Application. Note that this  
20   Management API is a generic mechanism that allows any  
resource or any application to implement the  
ObjectStates interface to support manageability. In a  
specific case (e.g., FIG. 5) a NetworkInterface  
implements the GenericResource interface 140, which in  
25   turn implements the ObjectStates interface 130, which  
has all the methods necessary for a resource to be  
managed.

-Disabled: The resource is totally inoperable and  
unable to provide the service to the users.

-Enabled: The resource is partially operable and available for use.

Public Attributes:

DISABLED : int = 0x8

5 ENABLED : int = 0x10

OPERATIONAL\_TYPE : short = 2

Public Operations:

getOperationalState () : int

10 Called to get the current value of the  
OperationalState 210.

### 3.2.3 AlarmStatus

This interface 225 defines all the alarm states.

15 When the value of this attribute is an empty set, this implies that none of the status conditions described below are present.

-under repair: The resource is currently being repaired. When under repair value is present, the operational state is either disabled or enabled.

20 -critical: One or more critical alarms indicating a fault have been detected in the resource, and have not been cleared. The operational state of the managed object can be disabled or enabled.

25 -major: One or more major alarms indicating a fault have been detected in the resource, and have not yet been cleared. The operational state of the managed object can be disabled or enabled.

30 -minor: One or more minor alarms indicating a fault have been detected in the resource, and have not yet been cleared. The operational state of the managed object can be disabled or enabled.

-alarm outstanding: One or more alarms have been detected in the resource. The condition may or may not be disabling. If the operational state is enabled, additional attributes, particular to the managed object class, may indicate the nature and cause of the condition and the services that are affected.

The presence of the above alarm state conditions does not suppress the generation of future fault-related notifications.

10       Public Attributes:

**UNDER\_REPAIR** : int = 0x00000001

**CRITICAL** : int = 0x00000002

**MAJOR** : int = 0x00000004

**MINOR** : int = 0x00000008

15       **ALARM\_OUTSTANDING** : int = 0x0010

**ALARM\_TYPE** : short = 8

          Public Operations:

**clearAlarm** (alarm : int) : void

20       Called to clear a specific alarm. The controlling process has acted on the alarm.

**getAlarmStatus** () : int

          Called to get the current set of values of the Alarm Status.

#### 3.2.4       AvailabilityStatus

25       This interface 230 defines the Availability status of a resource.

          When the value of this attribute is an empty set, this implies that none of the status conditions described below are present.

-in test: The resource is undergoing a test procedure. If the administrative state is locked or shutting down then normal users are precluded from using the resource and the control status attribute has the value reserved for test.

Tests that do not exclude additional users can be present in any operational or administrative state but the reserved for test condition should not be present.

-failed: The resource has an internal fault that prevents it from operating. The operational state is disabled.

-power off: The resource requires power to be applied and is not powered on.

For example, a fuse or other protection device is known to have removed power or a low voltage condition has been detected. The operational state is disabled.

-off line: The resource requires a routine operation to be performed to place it online and make it available for use. The operation may be manual or automatic, or both.

The operational state is disabled.

-off duty: The resource has been made inactive by an internal control process in accordance with a predetermined time schedule. Under normal conditions the control process can be expected to reactivate the resource at some scheduled time, and it is therefore considered to be optional. The operational state is enabled or disabled.

-dependency: The resource cannot operate because some other resource on which it depends (e.g., a

resource not represented by the same managed object) is unavailable.

For example, a device is not accessible because its controller is powered off.

5           The operational state is disabled.

-degraded: The service available from the resource is degraded in some respect, such as in speed or operating capacity. Failure of a test or an unacceptable performance measurement has established  
10           that some or all services are not functional or are degraded due to the presence of a defect. However, the resource remains available for service, either because some services are satisfactory or because degraded service is preferable to no service at all. Object  
15           specific attributes may be defined to represent further information indicating, for example, which services are not functional and the nature of the degradation. The operational state is enabled.

-not installed: The resource represented by the  
20           managed object is not present, or is incomplete. For example, a plug-in module is missing, a cable is disconnected or a software module is not loaded. The operational state is disabled.

-log full: This indicates a log full condition,  
25           the semantics of which are defined in CCITT Rec. X.735  
| ISO/IEC 10164-6.

Public Attributes:

30           **INTEST** : int = 0x00000400  
             **FAILED** : int = 0x00000800  
             **POWEROFF** : int = 0x00001000  
             **OFFLINE** : int = 0x00002000

OFFDUTY : int = 0x00004000  
DEPENDENCY : int = 0x00008000  
DEGRADED : int = 0x00010000  
NOT\_INSTALLED : int = 0x00020000  
5 LOG\_FULL : int = 0x00040000  
AVAILABILITY\_TYPE : short = 32  
Public Operations:  
getAvailabilityStatus () : int  
Called to get the current set of values of the  
10 AvailabilityStatus 230.

### 3.2.5 ProceduralStatus

This interface 235 defines the Procedural status.  
The procedural status attribute is supported only  
by those classes of managed objects that represent some  
15 procedure (e.g., a test process) which progresses  
through a sequence of phases. Depending upon the  
managed object class definition, the procedure may be  
required to reach certain phase for the resource to be  
operational and available for use (i.e., for the  
20 managed object to be enabled). Not all phases may be  
applicable to every class of managed object. If the  
value of this attribute is an empty set, the managed  
object is ready, for example, the initialization is  
complete.

25 When the value of this attribute is an empty set,  
this implies that none of the status conditions  
described below are present.

-initialization required: The resource requires  
initialization to be invoked by the manager (e.g.,  
30 ResourceTypeManager 120) before it can perform its

normal functions, and this procedure has not been initiated. The manager may be able to invoke such initialization through an action. The terminating condition may also be present. The operational state is disabled.

-not initialized: The resource requires initialization before it can perform its normal functions, and this procedure has not been initiated. The resource initializes itself autonomously, but the operational state may be either disabled or enabled, depending upon the managed object class definition.

-initializing: The resource requires initialization before it can perform its normal functions, and this procedure has been initiated but is not yet complete. When this condition is present, the initialization required condition is absent, since initialization has already begun. The operational state may be disabled or enabled, depending upon the managed object class definition.

-reporting: The resource has completed some processing operation and is notifying the results of the operation, e.g., a test process is sending its results. The operational state is enabled.

-terminating: The resource is in a termination phase. If the resource does not reinitialize itself autonomously, the initialization required condition is also present and the operational state is disabled. Otherwise, the operational state may be either disabled or enabled, depending upon the managed object class definition.

Public Attributes:

```
INIT_REQUIRED : int = 0x00000020
NOT_INITIALIZED : int = 0x00000040
INITIALIZING : int = 0x00000080
REPORTING : int = 0x00000100
5  TERMINATING : int = 0x00000200
PROCEDURAL_TYPE : short = 16
```

Public Operations:

```
getProceduralStatus () : int
```

10 Called to get the current set of values of the  
Procedural Status.

### 3.2.6 UsageState

15 This interface 215 defines the Mask (i.e., the bit  
assignment shown below for IDLE, ACTIVE, etc.) for  
UsageState, which is the name of the interface which  
represents the concept of Usage State from the ITU-T  
X.731 standard.

-Idle: The resource is not currently in use.

20 -Active: The resource is in use, but has spare  
operating capacity to provide additional users at this  
instant.

-Busy: The resource is in use, but has no spare  
operating capacity to provide additional users at this  
instant.

Public Attributes:

```
25 IDLE : int = 0x00000020
ACTIVE : int = 0x00000040
BUSY : int = 0x00000080
USAGE_TYPE : short = 4
```

Public Operations:

```
30 getUsageState () : int
```



Called to get the current value of the Usage State.

### 3.2.7 ObjectStates

5 This interface 130 allows objects, which are meant to be managed in a standard way, to implement a unified interface which supports all or a suitable subset of states and status values. The defined state and status attributes are specified by the ITU-T standard X.731 for State Management.

10 It is derived from AlarmStatus 225, ProceduralStatus 235, AvailabilityStatus 230, UsageState 215, OperationalState 210, and AdministrativeState 205.

Public Operations:

15 **getStatesSupported () : short[]**

Called to determine which state and status attributes are supported by the class implementing this interface.

20 **addStateChangeListener (listener : StateChangeListener) : void**

Called to register a StateChangeListener for StateChangeEvents.

**removeStateChangeListener (listener : StateChangeListener) : void**

25 Called to deregister a StateChangeListener.

**getCurrentState () : int**

Called to get the current value of all supported states. Returns a bit mask representing the individual states.

30 **getCurrentStatus () : int**

Called to get the current value of all supported status attributes. Returns a bit mask representing the individual status attributes.

### 3.2.8 StateChangeListener

5 This interface 250 must be implemented by classes interested in being notified of state changes of objects which implement the ObjectStates interface 130. If an object which is a StateChangeListener 250 registers via the addStateChangeListener method, it  
10 will be notified by calling the stateChange method which includes the appropriate StateChangeEvent 265.

Public Operations:

**stateChange (event : StateChangeEvent) : void**

15 Called to notify a StateChangeListener about a state change. The event parameter provides information about what state has changed.

### 3.2.9 ResourceStateException

A base Exception class 245 related to the ObjectStates 130 interface. This exception, or its  
20 extensions, are thrown when a invalid state change would be caused by a method call. For example, an object in a Disabled state cannot perform a certain operation unless it is Unlocked. Derived from the Exception class 240.

25 Public Operations:

**getState () : short**

Called to determine which state consistency has been violated.

**getValue () : int**

Called to get the current value of the violated state.

### 3.2.10 StateChangeEvent

5 This Event 265 is fired (e.g., emitted or sent) when a state changes its value. It is distributed to all registered StateChangeListeners 250.

Derived from EventObject 255.

Public Operations:

**getState () : short**

10 Called to determine which state has changed.

**getOldValue () : int**

Called to determine the original value of the state.

**getNewValue () : int**

15 Called to determine the new value of the state.

**getSourceIndicator () : short**

Called to determine the cause of the event.

### 3.2.11 SourceIndicator

Public Attributes:

20 **INTERNAL\_CAUSE : short = 1**

State change caused by an internal activity.

**EXTERNAL\_CAUSE : short = 2**

State change caused by an external activity.

## 3.3 Registry Package

25 This package provides a set of supporting and utility classes and interfaces used by other packages.

### 3.3.1 Registry

This interface 310 provides a common root to all specialized registry interfaces, such ApplicationRegistry 330, ResourceRegistry 100, etc. (FIG. 4). It is provided so that the RegistryFactory 315 can return a base type.

A "base type" is known from the field of object-oriented programming. To illustrate, one can define a class with a set of functions (methods) and internal variables (e.g., a class "Fruit" which represents fruit and its basic characteristics). One can specialize it by defining a new class, "Apple", which inherits everything from the class "Fruit", and adds new functions that are applicable only to Apples but not to Fruit in general. "Fruit" is then referred to as a "base class" or a "base type."

Derived from RegistryType 305.

Public Operations:

**getRegistryType () : String**

Called to determine the type of registry implemented by the object returned by the RegistryFactory's method getRegistry().

**addRegistryListener (listener :  
org.atsc.registry.RegistryListener) : void**

Called to register for events generated by the Registry 310.

**removeRegistryListener (listener :  
org.atsc.registry.RegistryListener) : void**

Called to deregister for events generated by the Registry 310.

### 3.3.2 RegistryFactory

This class 315 provides a mechanism to create objects that implement specific Registry interfaces, such as the ApplicationRegistry 330. This class is modeled after the Factory Method design pattern, which, as is known from the field of object-oriented programming, is a methodology and structure for solving a problem.

```
Public Operations:  
RegistryFactory () :  
10 Constructor:  
getRegistry (registryName : String) :  
org.atssc.registry.Registry
```

Returns an instance of an object which implements the specified registry interface. Returns null when specified registry does not exist or cannot be created. The type of the returned object will be one of the derived Registry types, such as the ApplicationRegistry 330.

As known from the field of object-oriented programming, a constructor is a method that is called for each object at the time it is created/instantiated.

### 3.3.3 RegistryType

This interface 305 defines names for different registry types, such as an application registry (e.g., ApplicationRegistry 330), etc.

```
Public Attributes:  
APPLICATION_REGISTRY : String = "Application  
Registry"  
RESOURCE_REGISTRY : String = "Resource Registry"
```

**PREFERENCE\_REGISTRY : String = "Preference  
Registry"**

**USER\_REGISTRY : String = "User Registry"**

#### **3.3.4 RegistryListener**

5       This interface 345 allows an object to listen to  
changes made to the Registry 310.

Public Operations:

**registryChange () : ApplicationRegistryEvent**

10       This method of all registered  
ApplicationRegistryListeners (e.g., RegistryListener  
345) is called by the ApplicationRegistry object 330  
when an ApplicationRegistryEvent is fired.

#### **3.3.5 RegistryChangeEvent**

15       This a generic registry change event 150 which is  
extended by all specific registries (such as  
ApplicationRegistry 330, etc.) to provide specific  
information about the change. It is derived from  
EventObject 335.

Public Operations:

20       **getRegistryType () : java.lang.String**

Returns the type of a registry that this event is  
associated with.

**getCause () : short**

25       Returns the cause of the RegistryChangeEvent 150.  
Each derived event will define a set of causes  
appropriate for the registry it represents.

Totals

4 Logical Packages

## 23 Classes

Accordingly, it can be seen that the present invention provides an application programming interface (API) for a television terminal that provides a uniform  
5 mechanism for gaining/controlling access to resources, managing multiple resources of the same type, and accessing the individual resource's management state and status as defined by the X.731 ITU-T standard. The invention is suitable for use in managing resources in  
10 a Digital Television (DTV) Receiver/Terminal.

Although the invention has been described in connection with various specific embodiments, those skilled in the art will appreciate that numerous adaptations and modifications may be made thereto  
15 without departing from the spirit and scope of the invention as set forth in the claims.

For example, while various syntax elements have been discussed herein, note that they are examples only, and any syntax may be used.

20 Moreover, the invention is suitable for use with virtually any type of network, including cable or satellite television broadband communication networks, local area networks (LANs), metropolitan area networks (MANs), wide area networks (WANs), internets,  
25 intranets, and the Internet, or combinations thereof.

Additionally, known computer hardware, firmware and/or software techniques may be used to implement the invention.

What is claimed is:

1. A television set-top terminal, comprising:  
a computer readable medium having computer program code means; and

means for executing said computer program code means to implement an Application Programming Interface (API) for accessing and managing multiple resources at the terminal, wherein:

the API provides a resource package for registering the available resources at the terminal, a management package for managing states of the resources, and a registry package for storing objects that represent the resources.

2. The terminal of claim 1, wherein:

the management package manages the states of the resources according to an ITU-T X.731 standard for state management.

3. The terminal of claim 1, wherein the available resources include at least one of:

a tuner, a modem, a database, a plug-in module, a cable, a software module, a network interface card, and a conditional access module.

4. The terminal of claim 1, wherein:

the API provides a resource registry for maintaining a record of resource managers that provide access to individual resources.



5. The terminal of claim 1, wherein:  
the API is independent of an operating system and hardware of the terminal.

6. The terminal of claim 1, wherein:  
the API groups resources of the same type, and manages the grouped resources as a group.

7. The terminal of claim 1, wherein:  
the API monitors behavior of the resources, and attaches corresponding management information to the resources.

8. The terminal of claim 1, wherein:  
said API enables the resources to advertise their respective states to at least one application at the terminal.

9. The terminal of claim 8, wherein:  
said API enables the application to access the advertised states of the advertising resources.

10. The terminal of claim 1, wherein:  
said API enables administrative locking and unlocking of the resources.

11. The terminal of claim 1, wherein:  
said API enables the resources to advertise respective alarm statuses thereof to at least one application at the terminal.

12. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective availability statuses thereof to at least  
one application at the terminal.

13. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective procedural statuses thereof to at least one  
application at the terminal.

14. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective operational states thereof to at least one  
application at the terminal.

15. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective administrative states thereof to at least  
one application at the terminal.

16. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective usage states thereof to at least one  
application at the terminal.

17. A method for implementing a software  
architecture for a television set-top terminal,  
comprising the steps of:  
providing a computer readable medium having  
computer program code means; and  
executing said computer program code means to

implement an Application Programming Interface (API) for accessing and managing multiple resources at the terminal; wherein:

the API provides a resource package for registering the available resources at the terminal, a management package for managing states of the resources, and a registry package for storing objects that represent the resources.

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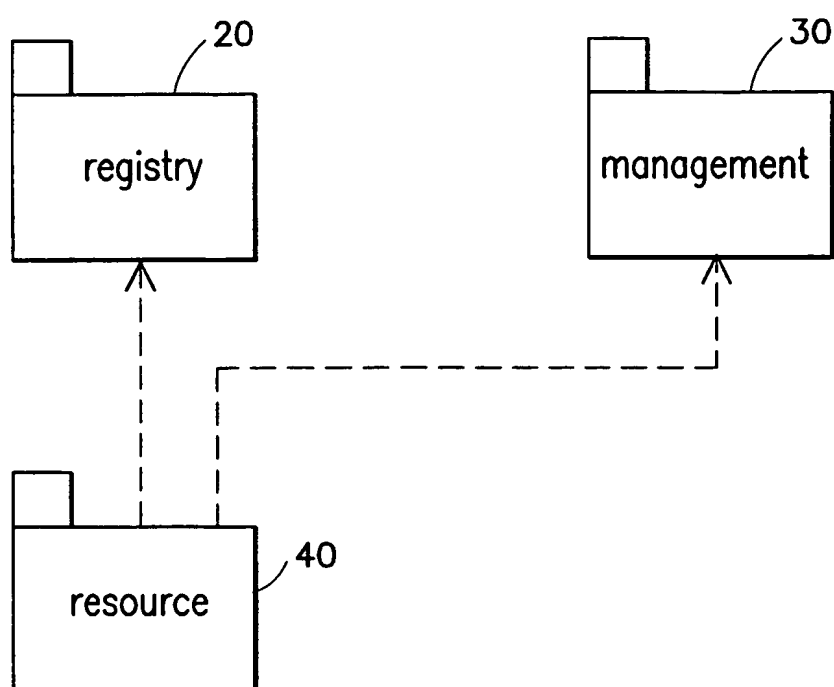


FIG. 1

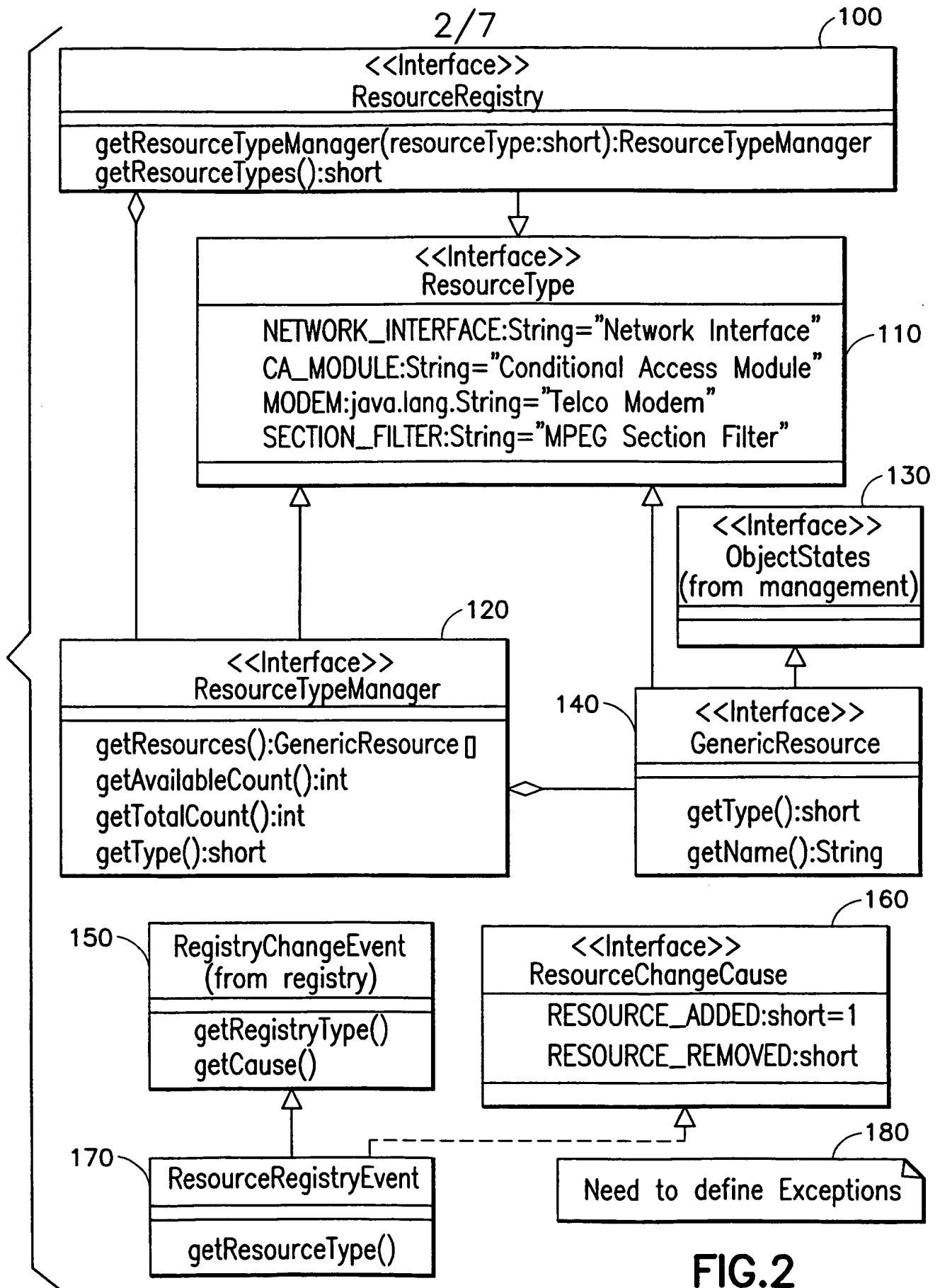


FIG.2

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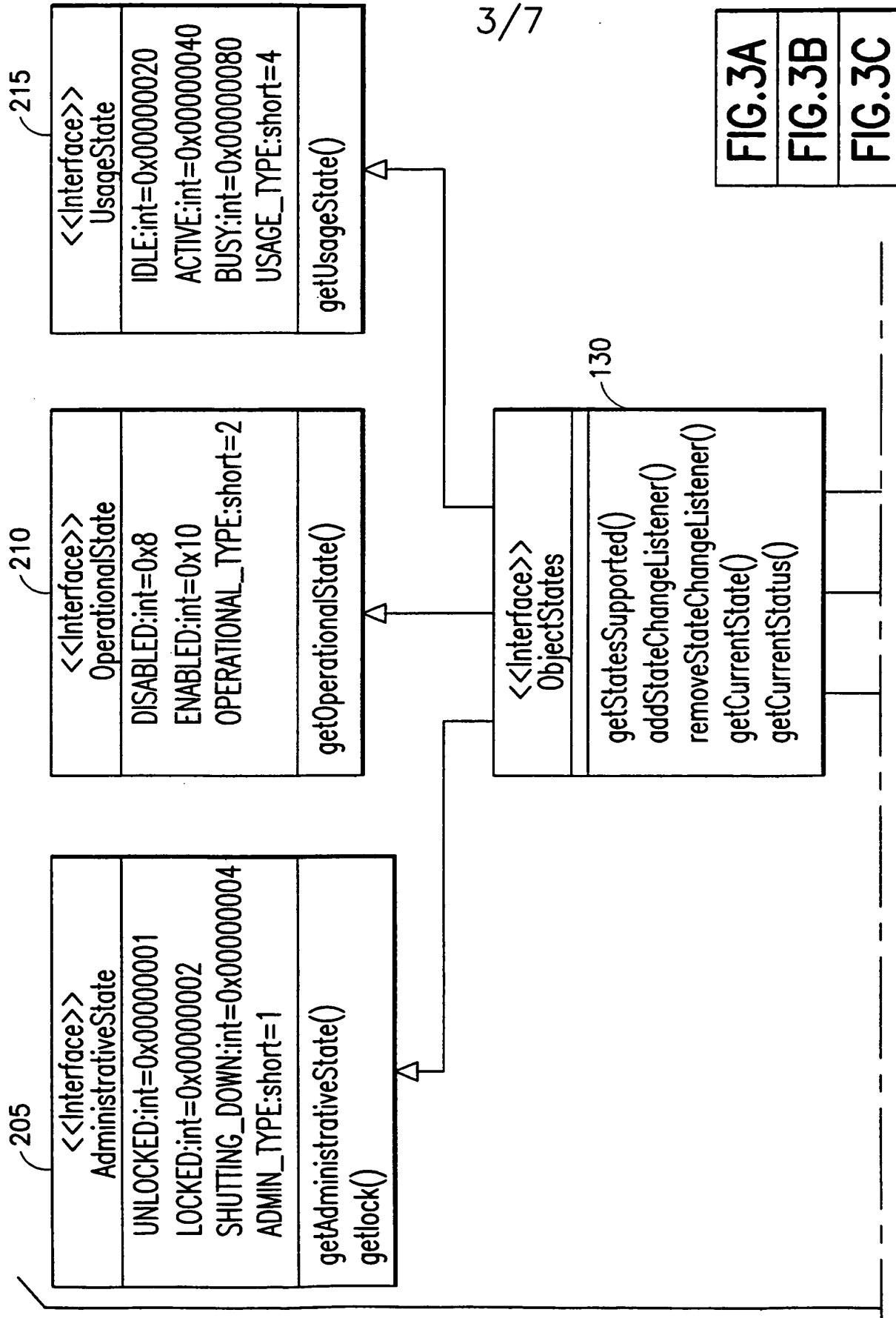


FIG.3A

FIG.3A  
FIG.3B  
FIG.3C

FIG.3

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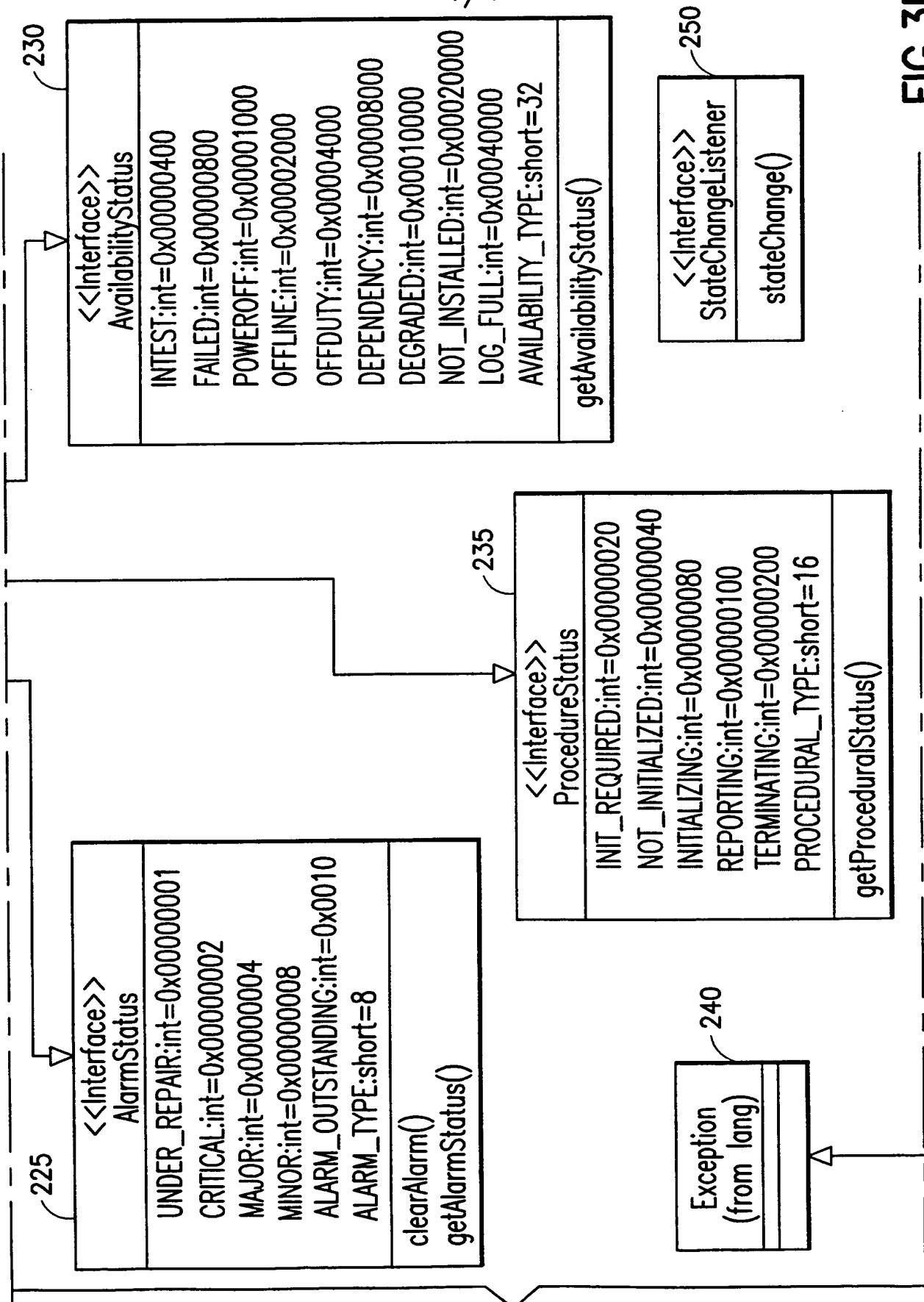


FIG.3B

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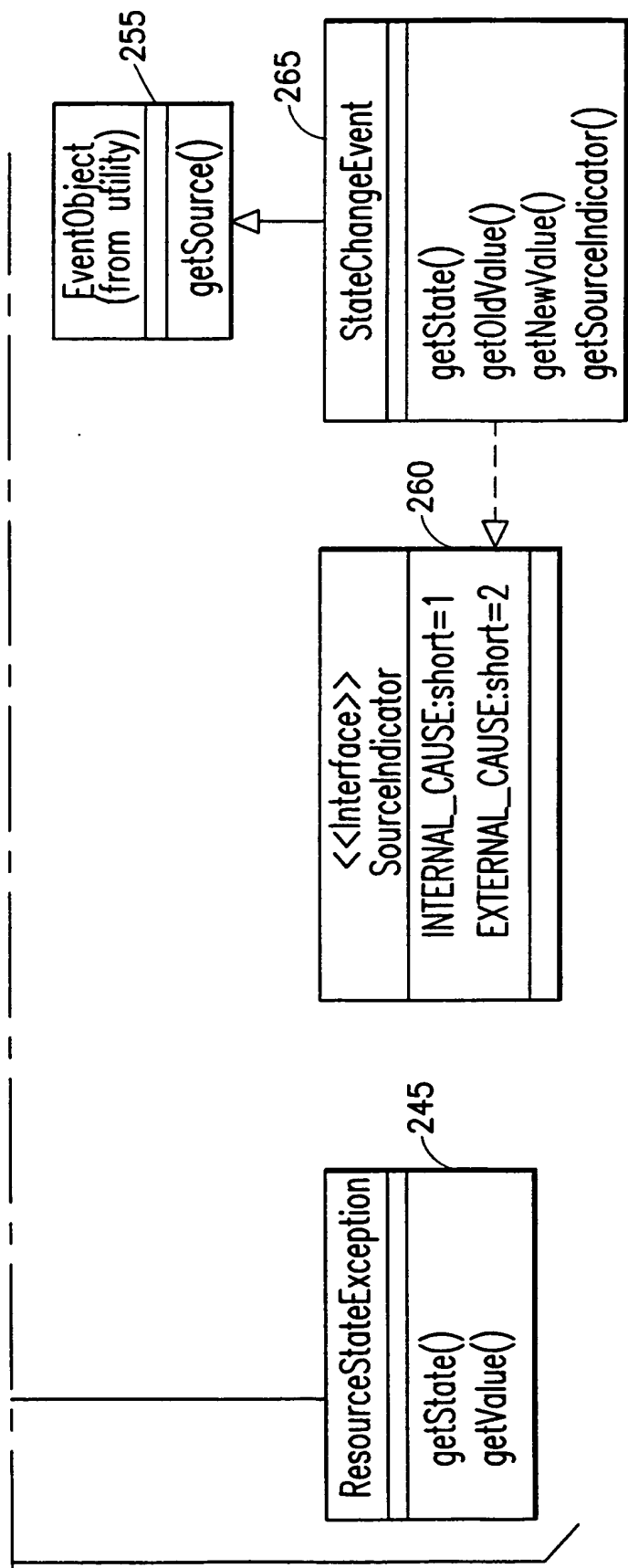
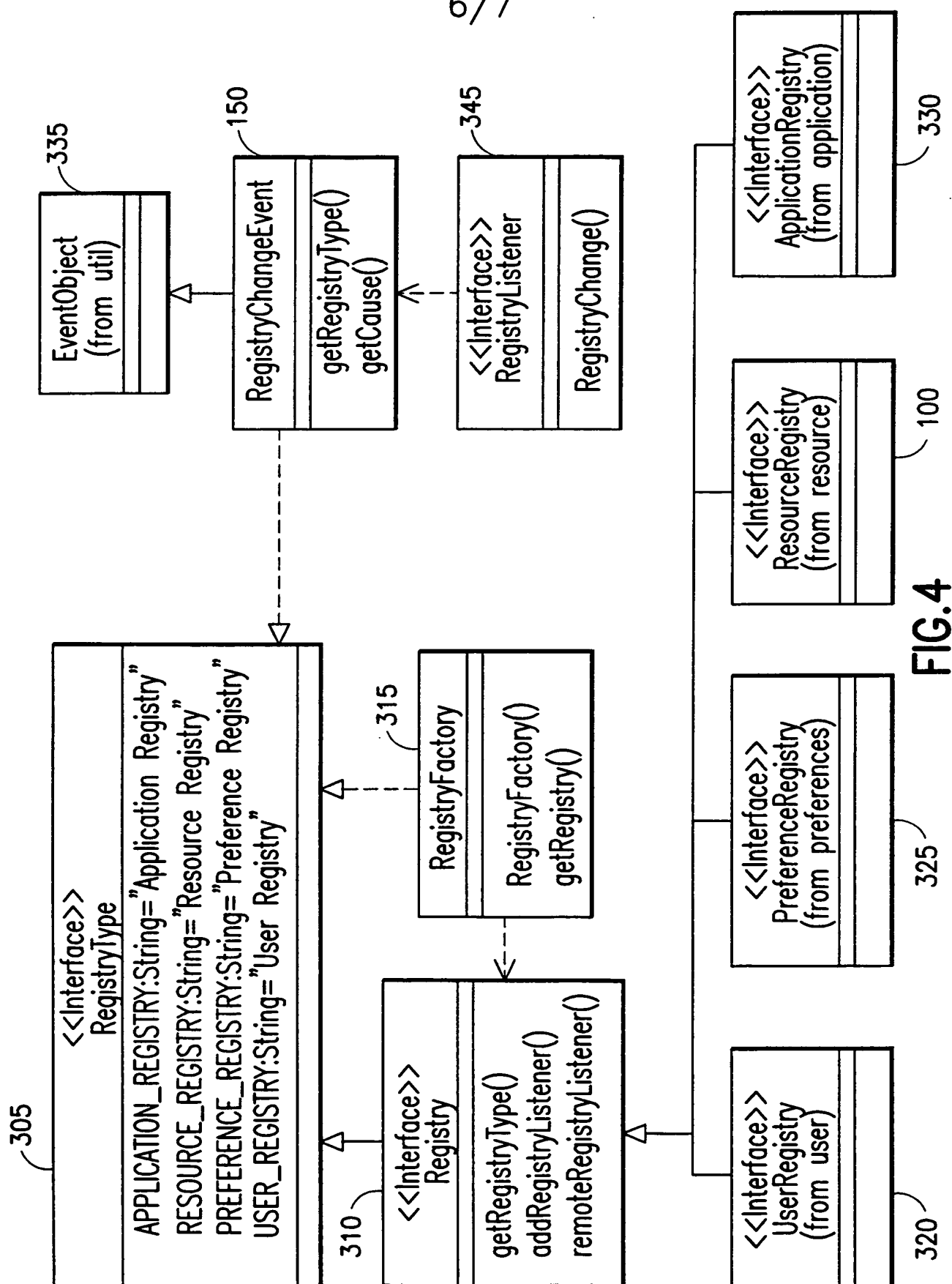


FIG.3C





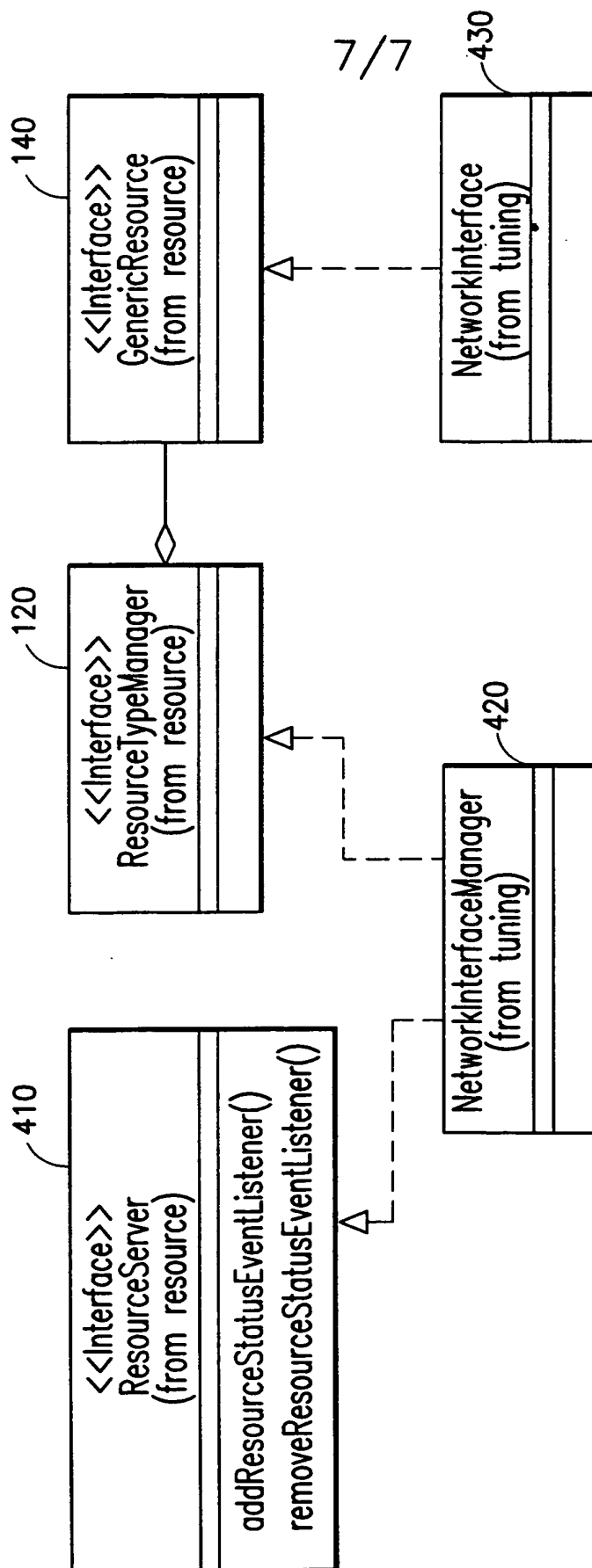


FIG.5

# INTERNATIONAL SEARCH REPORT

Intern. Pat. Application No.

PCT/US 99/23358

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04N5/00 G06F9/46

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04N G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 817 041 A (SUN MICROSYSTEMS INC) 7 January 1998 (1998-01-07)  column 1, line 11 - line 16 column 2, line 54 - column 3, line 49 column 4, line 21 - line 28 ---	1,4, 7-10,12, 16,17
A	EP 0 813 147 A (LSI LOGIC CORP) 17 December 1997 (1997-12-17) column 10, line 39 - line 48 column 11, line 21 - line 34 ---  -/--	1,5,6,17

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"G" document member of the same patent family

Date of the actual completion of the international search

14 January 2000

Date of mailing of the international search report

20/01/2000

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Sindic, G

# INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/US 99/23358

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EVAIN J -P: "THE MULTIMEDIA HOME PLATFORM"</p> <p>EBU REVIEW- TECHNICAL, BE, EUROPEAN BROADCASTING UNION. BRUSSELS, no. 275, 21 March 1998 (1998-03-21), page 4-10 XP000767493</p> <p>ISSN: 0251-0936</p> <p>figure 3</p> <p>page 6, left-hand column -middle column</p> <p>page 7, right-hand column, paragraph 3</p> <p>---</p>	1,3,5,17
A	<p>HARTWIG S: "SOFTWAREARCHITEKTUREN FUER INTERAKTIVE DIGITALE DECODER"</p> <p>FERNSEH UND KINOTECHNIK, DE, VDE VERLAG GMBH. BERLIN,</p> <p>vol. 50, no. 3, 1 March 1996 (1996-03-01), page 92-94, 96-98, XP000581418</p> <p>ISSN: 0015-0142</p> <p>page 96, left-hand column, paragraph 2</p> <p>-right-hand column, paragraph 2</p> <p>figure 1</p> <p>-----</p>	1,3,17

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/23358

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0817041 A	07-01-1998	US 5826082 A JP 10063519 A	20-10-1998 06-03-1998
EP 0813147 A	17-12-1997	US 5946487 A JP 10069394 A	31-08-1999 10-03-1998

12. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective availability statuses thereof to at least  
one application at the terminal.

13. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective procedural statuses thereof to at least one  
application at the terminal.

14. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective operational states thereof to at least one  
application at the terminal.

15. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective administrative states thereof to at least  
one application at the terminal.

16. The terminal of claim 1, wherein:  
said API enables the resources to advertise  
respective usage states thereof to at least one  
application at the terminal.

17. The terminal of claim 1, wherein:  
the resources are used for downloadable  
applications at the terminal.

18. The terminal of claim 1, wherein the  
applications include at least one of:

video on demand (VOD), audio on demand, pay-per-view, interactive shopping, electronic commerce, electronic program guides, Internet browsers, mail services (e.g., text e-mail, voice mail, audio mail, and/or video mail), telephony services, stock ticker, weather data, travel information, games, gambling, banking, shopping, and voting.

19. The terminal of claim 1, wherein:  
the applications enable at least one of Internet connectivity and Internet-based telephony.

20. A method for implementing a software architecture for a television set-top terminal, comprising the steps of:

providing a computer readable medium having computer program code means; and

executing said computer program code means to implement an Application Programming Interface (API) for accessing and managing multiple resources at the terminal; wherein:

the API provides a resource package for registering the available resources at the terminal, a management package for managing states of the resources, and a registry package for storing objects that represent the resources.

M. H

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference GIC-561 PCT	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US 99/ 23358	International filing date (day/month/year) 07/10/1999	(Earliest) Priority Date (day/month/year) 12/11/1998
Applicant GENERAL INSTRUMENT CORPORATION et al.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

1  
☐ None of the figures.



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/23358

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04N5/00 G06F9/46

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04N G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 817 041 A (SUN MICROSYSTEMS INC) 7 January 1998 (1998-01-07)  column 1, line 11 - line 16 column 2, line 54 - column 3, line 49 column 4, line 21 - line 28 ---	1,4, 7-10,12, 16,17
A	EP 0 813 147 A (LSI LOGIC CORP) 17 December 1997 (1997-12-17) column 10, line 39 - line 48 column 11, line 21 - line 34 ---  -/--	1,5,6,17

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance  
"E" earlier document but published on or after the international filing date  
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  
"&" document member of the same patent family

Date of the actual completion of the international search

14 January 2000

Date of mailing of the international search report

20/01/2000

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/23358

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